



THE UNIVERSITY OF  
NEW SOUTH WALES  
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## **GENC 3001, Understanding Asian Banking and Finance**

Session 2, 2004

### **Instructor:**

Dr. Peter Pham

### **Contact:**

Dr. Peter Pham

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Class meetings: **Wed 15:10-17:00, Mellor Theatre**

Consultation Hours: Tuesday 16:00—18:00 pm, others by appointment

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Course Web Site: <http://webct.unsw.edu.au/> then click on GENC 3001

### **Course Objectives:**

This course aims to provide students with a comprehensive understanding of the financial environments in Asia. It first introduces the important principles of finance, banking and insurance, and then delves into specific markets to discuss their institutional, cultural and financial characteristics. Recent events such as the Asian Currency Crisis and China joining the WTO are also discussed.

The course should appeal to students with a non-finance background (or doing a non-finance-related degree) who are interested in expand their future career into the financial world, or who plan to work in the Asia-Pacific region, or who simply want to enrich their expertise with a broad understanding of international financial markets

### **Reading Materials:**

Due to the diverse nature of this course, there is no prescribed textbook. In each lecture, I will distribute handouts, which will be required reading materials for the next lecture.

In addition, I will be regularly updating the subject web site (WEBCT) with the most recent lecture notes and other relevant course material so check the course web site often. You'll need UNIPASS to access the subject web. Details on how to get UNIPASS please check <http://www.disconnect.unsw.edu.au/faqs/unipass-faq.html>. Together with lecture handouts, these notes are examinable.

### **Attendance:**

Regular class attendance of all students is expected and class participation/discussion will be marked.

### **Grading Components:**

The grading components of the course are divided into four forms: class participation/discussion, quizzes, assignments and presentations:

- Class participation/discussion: 5%
- Mid-session quiz: 20%
- Assignment: Report 20%, Peer review: 5%
- Presentation: Own presentation: 15%, Peer review: 5%
- Final quiz: 30%

### **Participation/Discussion**

This is individual (i.e. non-group) assessment. Marks are awarded according to each student's in-class activities, such as the ability to answer questions raised and critically discuss relevant issues.

### **Quizzes**

There are two quizzes given in this course: mid and final quizzes (both are administered in class). Both are individual assessments. The mid session constitutes 20% of your course grade and will be composed of multiple-choice and true/false questions. The final constitutes 30% of your course grade and will be in the form of written short and essay-type questions.

### **Assignment and Presentation**

The assignment and presentation are both group assessments. They will be on one topic selected from those distributed in Week 2 of lectures. Both should follow a professional style of presentation. Each group should have 3 members only.

The assignment is due in Week 9 in class. Two copies of the assignment report could be handed in. One will be marked by me; the other will be reviewed by a peer group.

The presentation component of this course constitutes 15% of your grade and will be conducted in class. Materials presented will also be reviewed/rebutted by myself as well as your peers. The presentation is considered to be an extremely important part of your experience. It is expected that the presentation will reflect your ability to integrate and synthesize the course content into a professional analysis.

### **Peer Review**

Each group will be required to write a two-page review of another group's assignment report. This review should outline the report's topics, key findings as well as its strengths and weaknesses. Members of the reviewing group will also serve in a critique panel of the reviewed group's presentation to discuss/evaluate/question the contents of the presentation.

### **Grade Appeals**

Requests for consideration of review of graded items will only be accepted for a period of one week (seven days) after the return of that graded item to the student for his/her review. These re-grade requests **must be submitted in written form** (preferably via email) identifying the specific issue under question and provide a rationale as to why the student believes the issue under review is deserving of additional points.

### **Special Consideration:**

Any requests for "special consideration" must *strictly* follow official UNSW guidelines, which can be found in <http://www.student.unsw.edu.au/studentguide/assess.htm>. It is the *student's* responsibility to understand the process for filing such requests. Additionally, the student must notify the instructor

*prior to* an examinable event to explain their situation and their intentions to file such requests. Failure to do so will automatically result in the rejection of any special consideration request. A student requesting special consideration is generally eligible for only a passing mark.

### **Student Misconduct:**

Students in this course are expected to be familiar with the standards of acceptable academic behavior at the UNSW. The Student Guide specifically addresses issues and penalties associated with “misconduct” (<http://www.student.unsw.edu.au/studentguide/misconduct.htm>) and it is the *student’s* responsibility to understand and comply with those expectations. Of particular significance is misconduct associated with plagiarism (discussed at length at the above web site).

### **Lecture Agenda:**

<b>Lecture</b>	<b>Date</b>	<b>Topic</b>
1	28/7	Introduction and Basic Concepts
2	4/8	Financial Markets and Institutions in Asia
3	11/8	Corporate Finance in Asia <i>Group Arrangements Finalised</i>
4	28/8	Corporate Governance in Asia
5	25/8	Banking and Insurance in Asia
6	1/9	<i>Mid-session quiz</i> (1 hour) Derivatives Markets and Risk Management in Asia
7	8/9	Asian Financial Crisis: Causes and Consequences
8	15/9	Financial Markets in Focus: Japan and Korea
9	22/9	Financial Markets in Focus: South East Asian Countries <i>Assignment Report Due</i>
Term Break		Term Break
10	6/10	Financial Markets in Focus: South Asian Countries
11	13/10	Presentation week 2
12	20/10	Presentation week 3
13	27/10	China and the WTO: implications for the Region
14	3/11	<i>Final Quiz</i> (no class)

### **Writing and Learning Assistance**

If you need writing assistance, you could contact the Learning Center. Very useful on-line information can be found at <http://www.lc.unsw.edu.au/olib.html> which details the format of research papers, the structure of the contents, the appropriate ways of citing various resources and the assistance they could extend to students.

Additional learning and language support is available from the Education Development Unit (EDU) in the Faculty. The EDU provides individual and small group consultations, academic skills workshops, discipline-specific support workshops and a range of study skills resource materials and handouts. Students requiring advice and assistance with assignment writing, academic reading and note-taking, oral presentation, study skills or other learning needs are advised to drop in or contact the learning advisers in the Unit which is located in:

**Room 3054, Level 3, Quadrangle Building.**

The assistance is free and available only to students in this Faculty. I strongly encourage every student to be familiar with the formal writing style and take full advantage of these services.